

**FAX TRANSMISSION****RECEIVED  
CENTRAL FAX CENTER****NOV 24 2004****DATE:** November 24, 2004**PTO IDENTIFIER:** Application Number 09/808911-Conf. #4876  
Patent Number**Inventor:** Eric Victor SIEGEL et al.**MESSAGE TO:** US Patent and Trademark Office**FAX NUMBER:** (708) 872-9306**FROM:** LAHIVE & COCKFIELD, LLP

John S. Curran

**PHONE:** (617) 227-7400**Attorney Dkt. #:** KAQ-002**PAGES (Including Cover Sheet):** 20**CONTENTS:** Fee Transmittal plus duplicate (2 pages);  
Amendment (14);  
Two Month Request for Extension of Time Under 37 CFR 1.136(a) (1 page);  
Amendment Transmittal (1 page);  
Charge \$304.00 to deposit account 12-0080; and  
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**LAHIVE & COCKFIELD, LLP**  
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Telephone: (617) 227-7400 Facsimile: (617) 742-4214

PTO/SB/97 (09-04)

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Application No. (if known): 09/808911

Attorney Docket No.: KAQ-002

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on November 24, 2004  
Date



Signature

John S. Curran

Typed or printed name of person signing Certificate

50,445

Registration Number, if applicable

(617) 227-7400

Telephone Number

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Fee Transmittal plus duplicate (2 pages);

Amendment (14);

Two Month Request for Extension of Time Under 37 CFR 1.136(a) (1 page);

Amendment Transmittal (1 page); and

Charge \$304.00 to deposit account 12-0080.

<b>AMENDMENT TRANSMITTAL LETTER</b>				Docket No. KAQ-002	
Application No. 09/808911-Conf. #4876		Filing Date March 14, 2001		Examiner T. J. Mauro	
Art Unit 2143					
Applicant(s): Eric Victor SIEGEL et al.					
Invention: ACCESS CONTROL PROTOCOL FOR USER PROFILE MANAGEMENT					
<b>TO THE COMMISSIONER FOR PATENTS</b>					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	31	- 26 =	5	x 9.00	45.00
Independent Claims	5	- 4 =	1	x 44.00	44.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Extension for response within second month					215.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					<b>304.00</b>
<input type="checkbox"/> Large Entity <span style="float: right;"><input checked="" type="checkbox"/> Small Entity</span>					
<input type="checkbox"/> No additional fee is required for this amendment.					
<input checked="" type="checkbox"/> Please charge Deposit Account No. <u>12-0080</u> in the amount of \$ <u>304.00</u> . A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>12-0080</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
<u>John S. Curran</u> John S. Curran Attorney Reg. No.: 50,445  LAHIVE & COCKFIELD, LLP 28 State Street Boston, Massachusetts 02109 (617) 227-7400				Dated: <u>November 24, 2004</u>	
I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 872-8306 at Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below. Dated: November 24, 2004      Signature: <u>John S. Curran</u> (John S. Curran)					

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PTO/SB/17 (10-04-02)  
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## FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

<b>TOTAL AMOUNT OF PAYMENT</b> (\$)		304.00	
<b>Application Number</b>		09/808911-Conf. #4876	
<b>Filing Date</b>		March 14, 2001	
<b>First Named Inventor</b>		Eric Victor SIEGEL	
<b>Examiner Name</b>		T. J. Mauro	
<b>Art Unit</b>		2143	
<b>Attorney Docket No.</b>		KAQ-002	

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ Note

☒ Deposit Account

Deposit Account Number: 12-0080

Deposit Account Name: Lahive & Cockfield, LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entry Fee Code (\$)	Small Entry Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oem	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	2812 2,520	For filing a request for ex parte reexamination	
1804 920*	2804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	2805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 65	Extension for reply within first month	
1252 430	2252 215	Extension for reply within second month	215.00
1253 980	2253 490	Extension for reply within third month	
1254 1,630	2254 765	Extension for reply within fourth month	
1255 2,080	2255 1,040	Extension for reply within fifth month	
1401 340	2401 170	Notice of Appeal	
1402 340	2402 170	Filing a brief in support of an appeal	
1403 300	2403 150	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,370	2453 685	Petition to revive - unintentional	
1501 1,370	2501 685	Utility issue fee (or release)	
1502 490	2502 245	Design issue fee	
1503 680	2503 340	Plant issue fee	
1480 130	2480 130	Petitions to the Commissioner	
1807 50	2807 50	Processing fee under 37 CFR 1.17(g)	
1808 180	2808 180	Submission of Information Disclosure Sum	
8021 40	28021 40	Recording each patent assignment per property (times number of properties)	
1809 780	2809 395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 780	2810 395	For each additional invention to be examined (37 CFR 1.129(b))	
1801 790	2801 395	Request for Continued Examination (RCE)	
1802 900	2802 900	Request for expedited examination of a design application	

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)

218.00

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entry Fee Code (\$)	Small Entry Fee Code (\$)	Fee Description	Fee Paid
1001 790	2001 395	Utility filing fee	
1002 350	2002 175	Design filing fee	
1003 550	2003 275	Plant filing fee	
1004 790	2004 395	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

**SUBTOTAL (1)** (\$)

0.00

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from Table	Fee Paid
31	28**	5	9.00
5	4**	1	44.00

Multiple Dependents:

Large Entry Fee Code (\$)	Small Entry Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 88	2201 44	Independent claims in excess of 3	
1203 300	2203 150	Multiple dependent claims, if not paid	
1204 68	2204 44	Retains independent claims over original patent	
1205 18	2205 9	Retains claims in excess of 20 and over original patent	

**SUBTOTAL (2)** (\$)

89.00

\*\*or number previously paid, if greater. For Reissues, see above

### SUBMITTED BY

Name (Print/Type): John S. Curran

Registration No. (Attorney/Agent): 50,445

Telephone: (617) 227-7400

Signature: *John S. Curran*

Date: November 24, 2004

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Dated, November 24, 2004

Signature: *John S. Curran* (John S. Curran)

PTO/SB/17 (10-04v2)  
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## FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

<b>TOTAL AMOUNT OF PAYMENT</b> (\$)		304.00	
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**Complete if Known**

Application Number	09/808911-Conf. #4876
Filing Date	March 14, 2001
First Named Inventor	Eric Victor SIEGEL
Examiner Name	T. J. Mauro
Art Unit	2143
Attorney Docket No.	KAQ-002

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number: 12-0080

Deposit Account Name: Lahive & Cockfield, LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION (continued)**

### COPY

**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or cash	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for a paper reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	215.00
1253	860	2253	430	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unintentional	
1453	1,370	2453	685	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1480	130	1480	130	Payments to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(p)	
1806	180	1806	180	Submission of Information Disclosure Statements	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.123(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.123(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	800	1802	800	Request for expedited examination of a design application	

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)

215.00

**1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	650	2003	275	Plant filing fee	
1004	700	2004	350	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1)** (\$)

0.00

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from Table	Fee Paid
31	28**	5	9.00
5	4**	1	44.00

Multiple Dependents

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	44	Independent claims in excess of 3	
1203	300	2203	150	Multiple dependent claim, if not paid	
1204	88	2204	44	Reissue independent claims over original patent	
1205	18	2205	9	Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2)** (\$)

89.00

\*\*or number previously paid, if greater. For Reissues, see above

**SUBMITTED BY**

Name (Print/Type)	John S. Curran	Registration No. (ABO/Reg/Agent)	50,445	Telephone	(617) 227-7400
Signature	<i>John S. Curran</i>	Date	November 24, 2004		

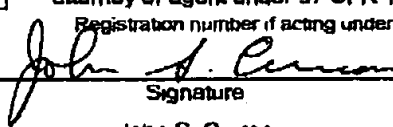
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Dated: November 24, 2004

Signature: *John S. Curran* (John S. Curran)

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<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b> <b>FY 2005</b> (fees effective on or after October 1, 2004)		<b>Docket Number (Optional)</b> KAQ-002	
<b>Application Number</b> 09/808811-Conf. #4876		<b>Filed</b> March 14, 2001	
<b>For</b> ACCESS CONTROL PROTOCOL FOR USER PROFILE MANAGEMENT			
<b>Art Unit</b> 2143		<b>Examiner</b> T. J. Mauro	
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.			
The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):			
	<b>Fee</b>	<b>Small Entity Fee</b>	
<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$110.00	\$55.00	\$
<input checked="" type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$430.00	\$215.00	\$ 215.00
<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$980.00	\$490.00	\$
<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$1,530.00	\$765.00	\$
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$2,080.00	\$1,040.00	\$
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.			
<input type="checkbox"/> A check in the amount of the fee is enclosed.			
<input type="checkbox"/> Payment by credit card Form PTO-2038 is attached.			
<input checked="" type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account.			
<input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 12-0080. I have enclosed a duplicate copy of this sheet.			
I am the <input type="checkbox"/> applicant/inventor.			
<input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).			
<input type="checkbox"/> attorney or agent of record. Registration Number _____			
<input checked="" type="checkbox"/> attorney or agent under 37 CFR 1.34(a). Registration number if acting under 37 CFR 1.34(a) 50,445			
 Signature		November 24, 2004 Date	
John S. Curran Typed or printed name		(617) 227-7400 Telephone Number	
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.			
<input type="checkbox"/> Total of 1 forms are submitted			

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Dated: November 24, 2004

Signature:  (John S. Curran)